REMARKS

Claims 1, 3-12, 14-48 are pending in the present application. By this Response, claims 1 and 12 are amended to clarify that the delete action is determined based on the structure of the relational database described in the meta-information class object. Reconsideration of the claims is respectfully requested.

I.   **35 U.S.C. § 103, Alleged Obviousness, Claims 1, 3-4, 7-12, 14-15, 17-19, 25-26, 31-34, 39-42 and 44**

The Office Action rejects claims 1, 3-4, 7-12, 14-15, 17-19, 25-26, 31-34, 39-42 and 44 under 35 U.S.C. § 103(a) as being allegedly unpatentable over Ng et al. (U.S. Patent No. 6,385,618) in view of Elmasri et al., Text Book: Fundamentals of Database System (Third Edition) and further in view of Sarkar (U.S. Patent No. 6,418,448). This rejection is respectfully traversed.

As to independent claim 1 the Office Action states:

> With respect to claim 1, Ng discloses determining a structure of the relational database (database schema of a relational database: col. 4, lines 23-27 and lines 35-36), wherein determining the structure of the relational database includes invoking a database meta-information class object associated with the relational database (database metadata is called by the tool via JDBC: col. 7, lines 60-67 and col. 8, lines 1-18; also see fig. 9);
> Ng discloses structure of relational database and schemas of relational database. Ng does not explicitly indicate determining a delete action based on the structure of the relational database and generating database modification commands based on the determined delete action and sending the database modification commands. Elmasri-Navathe discloses active database rules and triggers as referred to as the Event-Condition-Action or ECA-model for the delete operation such as a cascade deletion, the organization or structure of the tables have to be determine to in order to delete tuple that reference the tuple that is being deleted (see rule R4, TOTALSAL4 (page 737 and page 210). In combination, Ng and Elmasri-Navathe do not teach the relational database server in Java via JDBC interface.
> However, Sarkar discloses java classes are loaded in the database server (col. 11, lines 45-55; also see col. 6, lines 7-22).
> Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of

Ng in view of Elmasri-Navathe with the teachings of Sarkar so as to obtain database server of a object relational database locating of elements inside component relational schema with Java classes (col. 6, lines 13-15). This combination would provide a relational database having database server in the Java classes as argument for the interface of JDBC with SQL in the multi-tier client/server environment (Sarkar – col. 6, lines 20-28) and it is carrying an object SQL query for execution within one or more object relational schema (Sarkar – col. 6, lines 58-65 and querying and viewing multiple object relational schema in the large existing database system (Sarkar – col. 7, lines 10-14) in the deletion of object in the relational database environment.

Office Action dated December 24, 2003, pages 2-4.

Claim 1, which is representative of the other rejected independent claim 12 with regard to similarly recited subject matter, reads as follows:

1.   A method of deleting object data from a relational database, comprising:
        determining a structure of the relational database, wherein determining the structure of the relational database includes referring to a database meta-information class object associated with the relational database;
        determining a delete action based on the structure of the relational database as described in the meta-information class object;
        generating database modification commands based on the determined delete action; and
        sending the database modification commands to a relational database server, wherein the relational database server deletes the object data from the relational database based on the database modification commands. (emphasis added)

The Ng and Sarkar references have been discussed at length in the Responses filed July 16, 2003 and December 2, 2003, the remarks of which are hereby incorporated by reference. Ng teaches a system for updating an original object model, possibly having customizations from a programmer, with only the changes to the database made by a database administrator, as represented in the new database data structure. The result of the update of the original object model is a combination of the original object model, any

customizations added by the programmer, and the changes to the database made by the administrator. Once the original object model is updated, new source code is generated.

Sarkar teaches a method and apparatus for processing markup language specifications for data and metadata used inside multiple related internet documents. The method and apparatus of Sarkar are used to navigate queries and manipulate information from a plurality of object relational databases over the world wide web.

The newly cited Elmasri reference merely teaches that a delete operation may fail when the structure of a relational database is such that an entry that is being deleted is referenced by entries in other tables of the relational database. In such a case, the deletion may be rejected, a cascade delete operation may be attempted or the referencing attribute values that cause the failure may be modified (see page 210, section 7.3.2).

None of the references teach or suggest referring to a database meta-information class object to determine the structure of a database and then determine a delete action based on the structure of the database determined from the meta-information class object, as recited in claims 1 and 12. While Ng teaches using a DatabaseMetaData interface of JDBC to obtain database schema information and storing that database schema information in a data structure, such as data structure 700 in Figure 7 of Ng, there is no teaching or suggestion in Ng that a delete action is determined by obtaining structure information from the data structure and then determining a delete action based on the structure obtained from the data structure. To the contrary, Ng merely uses the database schema in the data structure to isolate changes between two database data structures, as shown in Figure 10 of Ng. This process includes determining if the number of tables have changed between database data structures, determining if the type, name or number of fields in the hash table of the two database data structures have changed, comparing primary keys for each table to determining if a different primary key has been designated as the primary key, and comparing foreign keys between both database data structures to determine if any of the foreign keys have changed. Based on these identified changes, an object model is updated for the relational database and source code is then generated based on the updated object model. (see column 7, line 13 to column 8, line 38).

Nowhere in Ng is there any teaching or suggestion that the database data structures that store the database schema are used to determine a structure of the database

and then to determine a delete action based on the structure of the database obtained from the database data structure. To the contrary, if an object is to be deleted from a table in the relational database of Ng, the delete operation will be performed in a conventional manner, such as that taught by Elmasri. That is, the delete action will be attempted and if it fails due to an integrity violation, the delete action may be rejected, a cascade delete operation may be attempted, or referencing attribute values that cause the integrity violation may be modified (see Elmasri, page 210, section 7.3.2). This delete action is not determined based on database structure information obtained from a meta-information class object. To the contrary, the delete actions in both Ng and Elmasri are based on attempting delete operations on the relational database itself and determining if the operation fails. Neither Ng nor Elmasri teach or suggest to use a database meta-information class object to determine a structure of a relational database and then determine a delete action based on the structure of the relational database determined using the meta-information class object.

Sarkar does not make up for these deficiencies either. While Sarkar may teach java classes being loaded in a database server, Sarkar does not teach or suggest to identify a structure of a relational database by referring to a database meta-information class object associated with the relational database or determining a delete action based on the structure of the relational database determined from the database meta-information class object.

The Office Action alleges that the database meta-information class object is taught by Ng at column 7, lines 60-67, column 8, lines 1-18 and in Figure 9. These portions of the Ng reference are directed to the DatabaseMetaData interface of the Java Database Connectivity (JDBC) tool. While the DatabaseMetaData interface may be used to obtain database schema information, the DatabaseMetaData interface is a set of methods associated with the JDBC tools and is not a database meta-information class object that is associated with a relational database. To the contrary, the DatabaseMetaData interface methods are a tool used to generate a database data structure that stores the database schema. Furthermore, the DatabaseMetaData interface is not used in Ng to determine a structure of a relational database such that a delete action is

determined based on the structure of the relational database determined via the DatabaseMetaData interface.

Thus, in view of the above, Applicant respectfully submits that neither Ng, Sarkar nor Elmasri, either alone or in combination, teach or suggest determining a structure of a relational database by referring to a database meta-information class object associated with the relational database and determining a delete action based on the determined structure of the relational database as described in the meta-information class object, as recited in claims 1 and 12. At least by virtue of their dependency on claims 1 and 12, respectively, neither Ng, Sarkar, nor Elmasri, either alone or in combination, teach or suggest the features of dependent claims 3-4, 7-11, 14-15 and 17-19. Accordingly, Applicant respectfully requests withdrawal of the rejection of claims 1, 3-4, 7-12, 14-15 and 17-19 under 35 U.S.C. § 103(a).

With regard to independent claims 20, 27, 35 and 43, these claims are not listed as being rejected based on a combination of Ng, Sarkar and Elmasri, however many of their dependent claims, i.e. claims 25-26, 31-34, 39-42 and 44 are rejected based on this combination. Such rejections are not proper since their independent claims are rejected on a different basis, as discussed hereafter. However, in order to expedite prosecution of the present application, Applicant will address the features of the independent claims from which they depend with regard to the combination of Ng, Sarkar and Elmasri.

Regarding claims 20, 27 and 35, these claims recite generating a class object based on a determined structure and determined one or more delete actions. Neither Ng, Sarkar nor Elmasri teach or suggest this feature. While Ng uses the DatabaseMetaData interface of JDBC to obtain database schema information which is then stored in a database data structure, Ng does not generate this database data structure based on one or more delete actions determined based on the structure of the relational database. To the contrary, as shown in Figure 9 and described in columns 7 and 8, the DatabaseMetaData interface merely gets a description of tables in the database, gets a description of the columns in the tables, gets the primary keys for the tables, and gets the foreign keys for the tables. Nowhere in Ng is there any teaching or suggestion to use the DatabaseMetaData interface to determine one or more delete actions based on the

structure of a relational database and then generate a class object based on the determined one or more delete actions.

Neither Elmasri nor Sarkar teach or suggest these features either. Elmasri merely teaches attempting a cascade delete operation when a delete operation fails due to an integrity violation. Sarkar merely teaches loading of Java classes in a database server. None of the references teach or suggest the features of claims 20, 27 and 35. Therefore, since their dependent claims incorporate the subject matter of these respective independent claims, the dependent claims are also not taught or suggested by the alleged combination of Ng, Sarkar and Elmasri.

Regarding the remaining independent claims 43 and 46, these claims recite similar features to that emphasized above with regard to claims 20, 27 and 35. In particular, claim 43 recites a database meta-information generator class for generating a class object based on the determined structure and the determined one or more delete actions. Claim 46 recites generating a class object based on a determined structure, determined one or more delete actions, and user input. Thus, for similar reasons as noted above with regard to claims 20, 27 and 35, claims 43 and 46 define over the alleged combination of Ng, Sarkar and Elmasri. Therefore, dependent claim 44, which incorporates the features of independent claim 43, also defines over the alleged combination of Ng, Sarkar and Elmasri at least by virtue of its dependency.

In view of the above, Applicant respectfully submitsthat neither Ng, Sarkar, nor Elmasri, either alone or in combination, teach or suggest the features of independent claims 20, 27, 35, 43 and 46. At least by virtue of their dependency, the alleged combination of Ng, Sarkar and Elmasri does not teach or suggest the features of dependent claims 25-26, 31-34, 39-42 and 44. Accordingly, Applicant respectfully requests withdrawal of the rejection of claims 25-26, 31-34, 39-42 and 44 under 35 U.S.C. § 103(a).

In addition to the above neither Ng, Sarkar nor Elmasri, either alone or in combination, teach or suggest the features of dependent claims 4, 7-11, 15-19, 25-26, 31-34, 39-42 and 44. For example, with regard to claims 4 and 15 neither Ng, Sarkar nor Elmasri, either alone or in combination, teach or suggest that the database meta-information class object includes a delete action identifier for each dependent table of a

plurality of tables in a relational database. The Office Action alleges that this feature is taught by Ng at column 3, lines 62-67, column 7, lines 60-67, column 8, lines 1-17 and in Figure 9 (see rejection of claims 4 and 7 on page 5 of the Office Action). Column 3, lines 62-27 reads as follows:

> The secondary storage device contains a database having a logical structure comprising tables with rows and columns. The memory contains a first database data structure reflecting the logical structure of the database and the object model containing objects based on the first database data structure.

This portion of Ng merely states that the database has tables with rows and columns and that the memory contains a database data structure that reflects the logical structure of the database and the object model. There is nothing in this section of Ng that teaches or even suggests a meta-information class object that includes <u>a delete action identifier for each dependent table of a plurality of tables</u> in a relational database.

Column 7, line 60 to column 8, line 17 of Ng, which describes Figure 9 of Ng, reads as follows:

> FIG. 9 depicts a flowchart of the states performed when importing the database schema. Below, the object-relational mapping tool utilizes a number of methods which are found on the DatabaseMetaData interface of JDBC. The first state performed by the object-relational mapping tool is to call the GetTable method of the JDBC interface, which returns a description of the tables of the database (state 902). After retrieving this table information, the object-relational mapping tool selects one of the tables (state 904) and invokes the GetColumns method on the JDBC interface, returning a description of all of the columns in that table (state 906). Next, the object-relational mapping tool invokes the GetPrimaryKeys method to receive the primary key for the table (state 908). After obtaining the primary key, the object-relational mapping tool invokes the GetImportedKeys method to obtain information regarding the foreign keys (state 910). After invoking this method, the object-relational mapping tool determines if there are additional tables to be processed (state 912). If so, processing continues to state 904. Otherwise, the object-relational mapping tool constructs a database data structure, like the one shown in FIG. 7, from all of the information received in the previous states (state 914).

Nowhere in this portion of Ng is there any teaching or suggestion regarding including a delete action identifier, for each dependent table of a plurality of tables in a relational database, in a meta-information class object associated with the relational database. To the contrary, all this section of Ng teaches is the use of the various methods made available in the DatabaseMetaData interface of the JDBC to determine the structure of the relational database and then to use this information to generate the data structure shown in Figure 7, which is reproduced below:
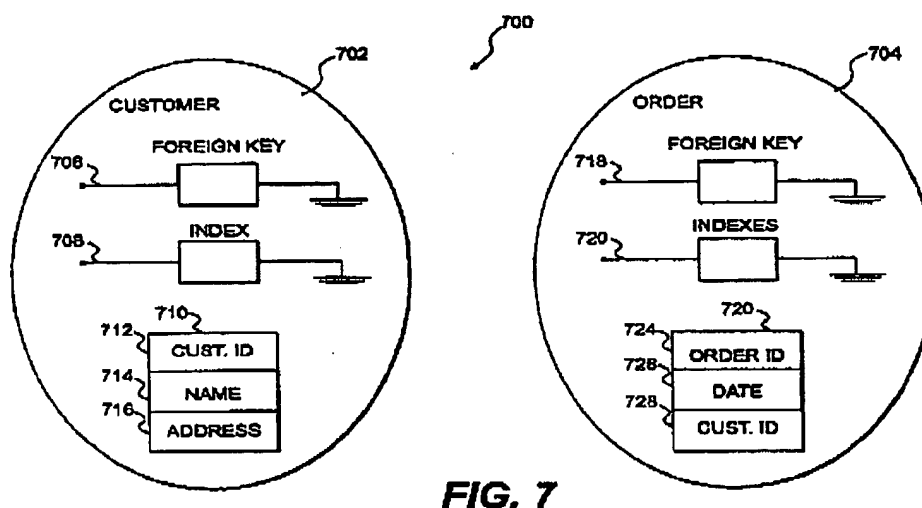

FIG. 7

Conspicuously missing from this data structure 700 is any delete identifier. To the contrary, as shown above, the only elements of this data structure are objects 702 and 704, relation objects 706, 708, 718, and hash tables 710 and 720. Nowhere in the data structure depicted in Figure 7 is there any delete action identifier, let alone a delete action identifier for each dependent table of a plurality of tables in a relational database.

Thus, despite the allegations made by the Office Action, Ng does not actually teach the feature of a database meta-information class object including a delete action identifier for each dependent table of a plurality of tables in a relational database. Furthermore, as stated above, neither of the other references, Sarkar or Elmasri, teaches or suggests this feature either. Sarkar has nothing to do with delete action identifiers in meta-information class objects and is merely used to allegedly teach Java classes being

loaded into a database server. Elmasri, while teaching that a cascade delete operation may be attempting when a delete action fails due to the entry being deleted also being referenced by other entries in other tables of the relational database, provides no teaching or suggestion with regard to including a delete action identifier, for each dependent table of a plurality of tables in a relational database, in a meta-information class object that is associated with the relational database. Since none of these references alone teach or suggest this feature, any alleged combination of these references still would not result in this feature being taught or suggested.

Dependent claims 7-10, 17-19, 24-26, 31-34, and 39-42 recite a file describing the structure and delete actions for tables in a relational database. These claims further define the file as being an Extensible Markup Language file, being generated based on user input to override default delete action identifiers in the file, and being generated based on user input to insert one or more delete constraints in the file for one or more of the tables in the relational database. None of these features are taught by Ng, Sarkar or Elmasri because none of these references teach or suggest a file describing the structure and delete actions for tables in a relational database.

The Office Action alleges that these features are taught at column 3, lines 62-67, column 7, lines 60-67, column 8, lines 1-17, Figure 9, and column 7, lines 16-26 of Ng. Column 3, lines 62-67 of Ng reads as follows:

> The secondary storage device obtains a database having a logical structure comprising tables with rows and columns. The memory contains a first database data structure reflecting the logical structure of the database and an object model containing objects based on the first database data structure.

Nothing in this section of Ng teaches a file that describes the structure <u>and delete actions</u> for tables in a relational database. At most, the database data structure referenced in this section teaches a structure of the relational database. Nothing in Ng teaches a file that describes the structure and delete actions for tables in a relational database. The other sections of Ng reference by the Office Action merely describe the DatabaseMetaData interface of JDBC and the methods executed in the flow shown in Figure 9, which have been addressed in detail above. Nowhere in any of these sections is

there any teaching or suggestion of a file that describes the structure and delete actions for tables in a relational database. Moreover, since none of these sections of Ng teach or suggest a file that describes the structure and delete actions for tables in a relational database, Ng cannot teach that the file is an Extensible Markup Language file, the file is generated based on user input to override default delete action identifiers in the file, or that the file is generated based on user input to insert one or more delete constraints in the file for one or more of the tables in the relational database. None of the references teach or suggest these features.

With regard to claim 16, this claim recites that the delete action identifier is one of cascade delete and nullify columns delete. While the prior art teaches these two different types of delete operations, nowhere in the cited art is there any teaching of a delete action identifier in a database meta-information class object that is one of a cascade delete and a nullify columns delete identifier, as recited in claim 16. Simply teaching these delete operations does not make obvious s delete identifier in a meta-information class object that is one of a cascade delete and a nullify columns delete identifier.

Similar to features previously discussed above, claim 44 recites that the database meta-information generator class encapsulates information identifying a structure of a relational database and one or more delete actions into a class object. None of the cited art teaches or suggests a class object that encapsulates information identifying a structure of a relational database and one or more delete actions, as discussed at length above.

Thus, dependent claims 4, 7-11, 15-19, 25-26, 31-34, 39-42 and 44 also distinguish over the alleged combination of Ng, Sarkar and Elmasri by virtue of the specific features recited in these claims.

II.   35 U.S.C. § 103, Alleged Obviousness, Claims 5-6, 16, 22-23, 29-30, 37-38 and 45

The Office Action rejects claims 5-6, 16, 22-23, 29-30, 37-38 and 45 under 35 U.S.C. § 103(a) as being allegedly unpatentable over Ng et al. in view of Elmasri and Sarkar, and further in view of Crus et al. (U.S. Patent No. 4,947,320). This rejection is respectfully traversed for at least the same reasons as noted above with regard to claims

1, 12, 20, 27, 35, and 43 from which claims 5-6, 16, 22-23, 29-30, 37-38 and 45 depend, respectively. Specifically, neither Ng, Elmasri nor Sarkar, either alone or in combination teach or suggest determining a structure of a relational database by referring to a database meta-information class object and determining a delete action based on the structure described in the meta-information class object, or a class object that is generated based on a structure of a relational database and one or more delete actions for tables in the relational database.

Moreover, Crus does not provide for the deficiencies of Ng, Elmasri and Sarkar. Crus teaches a delete set null and a delete cascade operation, as discussed in previously filed Responses. However, Crus provides no teaching or suggestion regarding a class object that is generated based on a structure of a relational database and one or more delete actions for tables in the relational database. Crus also provides no teaching or suggestion regarding determining a structure of a relational database by involving a meta-information class object associated with the relational database and then determining a delete action based on the determined structure of the relational database. Thus, even if Crus were combinable with Ng, Elmasri and Sarkar, the result still would not be the invention recited in independent claims 1, 12, 20, 27, 35 and 43, from which claims 5-6, 16, 22-23, 29-30, 37-38 and 45 depend.

Furthermore, there is no teaching or suggestion in Crus to include a delete set null or a delete cascade operation identifier, <u>for each dependent table of a plurality of tables in a relational database</u>, in a meta-information class object, as recited in claims 5 and 16 or information identifying a delete set null or delete cascade operation in a class object, as recited in claims 22, 29, 37 and 45. While Crus may generally teach delete set null and delete cascade operations, there is nothing in Crus that teaches or suggests to include information regarding such operations in a class object generated based on a structure of a relational database and one or more delete actions.

In view of the above Applicant respectfully submits that none of the cited references, whether taken alone or in combination, teach or suggest the features of independent claims 1, 12, 20, 27, 35 and 43. At least by virtue of their dependency on claims 1, 12, 20, 27, 35 and 43, respectively, none of the cited references, either alone or in combination, teach or suggest the features of dependent claims 5-6, 16, 22-23, 29-30,

37-38 and 45. Accordingly, Applicant respectfully requests withdrawal of the rejection
of claims 5-6, 16, 22-23, 29-30, 37-38 and 45.

III.   35 U.S.C. § 103, Alleged Obviousness, Claims 20-21, 24, 27-28, 35-36, 43, and 46-48

The Office Action rejects claims 20-21, 24, 27-28, 35-36, 43, and 46-48 under 35
U.S.C. § 103(a) as being allegedly unpatentable over Ng et al. in view of Elmasri. This
rejection is respectfully traversed for the same reasons as set forth above with regard to
the rejections based on Ng, Elmasri and Sarkar. The alleged combination of Ng and
Elmasri has been discussed at length above in section II and the Examiner's attention is
directed to the above section for the reasons why independent claims 20, 27, 35, 43 and
46 distinguish over this alleged combination of references. Claims 24, 47 and 48
distinguish over Ng and Elmasri at least by virtue of their dependency on claims 20 and
46, respectively. Accordingly, Applicant respectfully requests withdrawal of the
rejection of claims 20, 24, 27, 35, 43 and 46-48 under 35 U.S.C. § 103(a).

In addition to the above, Ng and Elmasri do not teach or suggest the specific
features recited in dependent claim 24. None of the cited references teach or suggest one
or more delete actions being determined from a file describing the structure and delete
actions for tables in the relational database, as recited in claim 24. The Office Action
alleges that this feature is taught by Ng at the same portions discussed above and in
previous responses. Again, there is nothing in Ng that teaches or suggests a file that
describes the structure and delete actions for tables in a relational database. Ng teaches a
data structure that identifies the structure of the relational database, however there is
nothing in this data structure that identifies delete actions for tables in the relational
database.

Additionally, neither Ng nor Elmasri teach or suggest a user input that overrides
one or more default delete actions (claim 47) or inserts one or more delete action
constraints (claim 48). The Office Action alleges that these features are taught by Ng at
column 4, lines 45-67, column 6, lines 42-64 and column 7, lines 9-67. Column 4, lines
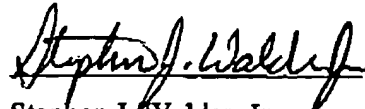45-67 merely teaches the incorporation of changes into an existing object model and the

generation or source code. Column 6, lines 42-64 merely teaches methods in class 420 for getting and setting the values of data members and the use of a foreign key to create a relationship in source code. Column 7, lines 9-67 merely describes a process for a database administrator to add a column to a customer table. Nowhere in any of these sections, or any other section of Ng or Elmasri, is there any teaching or suggestion with regard to user input that overrides one or more default delete actions or inserts one or more delete action constraints.

IV.   Conclusion

It is respectfully urged that the subject application is patentable over Ng. Elmasri, Sarkar and Crus and is now in condition for allowance. The Examiner is invited to call the undersigned at the below-listed telephone number if in the opinion of the Examiner such a telephone conference would expedite or aid the prosecution and examination of this application.

Respectfully submitted,

DATE: _March 24, 2004_

Stephen J. Walder, Jr.
Reg. No. 41,534
Carstens, Yee & Cahoon, LLP
P.O. Box 802334
Dallas, TX 75380
(972) 367-2001
Attorney for Applicant